

PCGS EUROPE BANKNOTE SUBMISSION AGREEMENT

(PLEASE WRITE LEGIBLY - PCGS WILL NOT BE RESPONSIBLE FOR INCOMPLETE OR INACCURATE ORDERS. FAILURE TO COMPLETE SUBMISSION FORM WILL DELAY ORDER.)

Collectors Universe - PCGS 24 rue du 4 Septembre, 2nd Floor, 75002 Paris, France Tel: +33 (0) 1 40 20 09 94 - PCGSeurope.com info@pcgseurope.com

SUBMISSION GUIDELINES

Following these directions can help expedite your order. This submission form is for banknotes submitted through the PCGS Europe office only.

- 1. Please provide your PCGS Authorized Dealer or Collectors Club member name and number. Print your VAT # (if applicable). You must be a PCGS Authorized Dealer or Collectors Club Member to submit banknotes to PCGS. If you're not currently a member, you may elect to join on this form for 69€ per year.
- 2. Passport/I.D. Information/Legal Address Provide your name, passport information, legal address, email address, and phone number in the spaces provided.

Select service type and service level desired. ONLY ONE SERVICE TYPE AT ONE SERVICE LEVEL IS PERMITTED PER FORM.

- 3. Service Type Please select one service type from the list below (one selection permitted per form):
- GRADING: For raw banknotes (not currently encapsulated). You may (but are not required to) specify a minimum acceptable grade. Banknotes graded below the minimum acceptable grade will not be holdered; however, the full grading fee will be charged.
- REGRADE: For banknotes previously certified by PCGS Banknote, PCGS Gold Shield Grading, or PCGS Banknote Grading that you feel might be worthy of a higher grade. Submit in the PCGS Banknote, PCGS Gold Shield Grading, or PCGS Banknote Grading holder. Banknotes submitted under the regrade service will be removed from the holder and regraded. Grading fees will apply whether the banknote upgrades or not. If the banknote grades lower, the terms of the PCGS Banknote Guarantee will apply. For full disclosure on the PCGS Banknote Guarantee, visit PCGS.com/banknote/
- CROSSOVER: For a banknote previously graded (currently encapsulated) by another grading service. PCGS Banknote will holder the banknote only if it meets or exceeds your specific request notated in the "Minimum Grade" column on the front of the form. Grading fees will apply whether the banknote crosses or not. Please specify one of the options below in the "Minimum Grade" column on the front of the form. If you leave this area blank, PCGS will assume the minimum grade is the grade currently on the holder.
- Minimum Grade Options:
- CURRENT Requests banknote to cross at grade listed on holder.
- ANY Requests banknote to cross at any numerical grade (01 - 70).
- OTHER GRADE If you would like to specify a different grade than listed on the holder, write your minimum acceptable numerical grade.

Note the following limitations apply:

» First Print or any equivalent will not be recognized.

Banknotes that are currently encapsulated by other grading services may have issues that are not discovered until the banknotes are removed from their current holders. Therefore, PCGS Banknote cannot guarantee that a submitted banknote presently encapsulated by another service will not, upon removal from its holder, downgrade due to problems not seen on the note prior to its decapsulation. By submitting a banknote to the crossover service, the submitter agrees that PCGS Banknote is not liable for changes made to grade, attribution and authenticity as determined by PCGS Banknote experts.

- REHOLDER: For the re-encapsulation of PCGS Gold Shield Grading or PCGS Banknote certified banknotes. Any banknote submitted under the reholder service will not be regraded.
- GUARANTEE RESUBMISSION: If you have a PCGS Gold Shield or PCGS Banknote-graded banknote that you feel is overgraded, misattributed, or counterfeit, submit the banknote through the Guarantee Resubmission Service. A fee of 25€ per banknote must accompany all submissions through this service. If your banknote is downgraded, the terms of the PCGS Banknote Guarantee will apply and all fees and charges related to the Guarantee Resubmission will be refunded. Additional quarantee terms and conditions apply. Please visit PCGS.com/banknote/quarantee for more information.
- 4. Genuine Option Please select "Standard" to have your notes graded numerically or "Genuine only" for authentication only, no grading. Select only one of the two options:
 - 1. Standard (Default)
 - 2. Genuine Only

FEES: Full grading fee will be charged with either selection.

- 5. Service Level Select only one service level perform. Service level is based on the declared value per item listed. The individual declared values cannot exceed the maximum value for the service level that is selected. Please note the minimum requirements and restrictions within each service level. Grading fees do NOT include VAT.
- All specimen, proof, error, and small commercial (private) issue notes must be submitted under the Special Issues service level or higher.
- All Banknotes submitted under the Economy service level must be dated prior to 1960.
- Banknotes submitted under the Modern service level must be dated 1960 to present.
- A minimum of 25 consecutive banknotes are required to submit through the Bulk Consecutive service level.
- A minimum of 50 non-consecutive banknotes, dated 1960 - date, are required to submit through the Modern Bulk Non-Consecutive service level. All banknotes must be the same type.
- A minimum of 50 non-consecutive banknotes, dated before 1960, are required to submit through the Vintage Bulk Non-Consecutive (Pre 1960) service level. All banknotes must be the same type.
- GUARANTEE PREMIUM: Equal to 1% of the value of the banknote in its final grade. The value of the banknote is determined by the PCGS Price Guide value for that grade or the Declared Value the banknote, if the Price Guide value is not available. The minimum amount for the Guarantee Premium is 10€. PCGS reserves the right to make the final determination of the banknote's value.
- 6. Banknote Details List the details for each banknote in the following area.
- Quantity List the total number of banknotes (per line). If you have multiple of the same banknote with the same catalog number, you can list them on the same line.
- Country List the country where the banknote was issued.
- Catalog # Provide the catalog number for each banknote.
- Date/Series List the date or series of the banknote.
- Denomination List the denomination of the banknote.
- Type List the type of banknote (if applicable).
- Serial Number/Pedigree Notate the serial number and any pedigree requests here. For multiple notes with consec-

- utive serial numbers, list the range of numbers. All pedigree requests must be pre-approved.
- Declared Value Declared Value Indicate the insurance value (per banknote). Please note: this value cannot exceed the maximum note value for the selected Service Level (from Section 5). If no value is assigned, you authorize PCGS to assign a 50€ value per banknote.

Additional / Add-On Services

- First Day of Issue (Add 5€ per banknote).
- First Print (Add 5€ per banknote)
- Oversized Holder (Add 10€ per banknote) Required for banknotes larger than 195mm x 120mm.
- Custom Oversized Holder (Add 40€ per banknote) Required for uncut sheets or banknotes larger than 205mm x 220mm.
- 7. Submission Return Select the method for the return of your submission. Submissions may be picked up at the PCGS Europe Submission Center by appointment only. Should you wish to have your submissions delivered to a trade show (when available) or shipped directly to you, arrangements must be made in advance with the PCGS Europe office. Additional shipping fees may apply.
- 8. Fee Calculation Calculate all appropriate fees for the submission. This includes any additional services and fees (First Day of Issue, oversized holder etc.). All fees are estimated until completion of the submission. Any applicable VAT fees will be added once the submission has been completed. All fees must be paid in EUR.
- 9. Payment Method Select your method of payment and include payment information. You must include payment, or your order will not be processed.
- 10. Terms & Conditions Sign and date the bottom of the form after reading the Terms & Conditions below. Order will not be processed without signature. Keep the gold copy for your records.

Place each banknote individually in a new mylar sleeve and wrap securely. Do not send banknotes with staples. Package banknotes and submission form carefully. Banknotes can either be delivered in person to the PCGS Paris Submission Center (by appointment only) or shipped directly to us. Mail banknote submissions to Collectors Universe - PCGS 24 rue du 4 Septembre, 2nd Floor, 75002 Paris, France. We do not accept shipments via Post Office (either Registered or Secured mail). For additional information or to set up an appointment, contact Customer Service at +33 (0) 1 40 20 09 94 or email info@ PCGSeurope.com.

Graded banknotes will be held at the PCGS Submission Center until picked up, unless return shipping has been previously arranged with PCGS. Storage is free for the first 30 days after the invoice date. From day 31, storage will be billed to you at 6 EUR (including tax) per calendar day and per submission.

All terms and conditions are subject to change. Estimated turnaround times do not include mailing time and reflect business days. IF MORE THAN ONE SERVICE TYPE, GENUINE OPTION, OR SERVICE LEVEL IS INCLUDED ON ANY ONE FORM, YOU AUTHORIZE PCGS BANKNOTE TO SEPARATE THE ORDER FOR PROCESSING AND AGREE TO PAY ANY ADDITIONAL GRADING, GUARANTEE PREMIUM, HANDLING, AND SHIP-PING FEES THAT MAY APPLY.

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ORDERS. FAILURE TO COMPLETE SUBMISSION FORM WILL DELAY ORDER.)

SUBMISSION#

Collectors Universe - PCGS 24 rue du 4 Septembre, 2nd Floor, 75002 Paris, France
Tel: +33 (0) 1 40 20 09 94 • PCGSeurope.com • info@pcgseurope.com

You must be a PCGS Authorized Dealer or Collectors Club Member to submit

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SIRET Number 520 515 438 00031 RCS Paris

PCGS BANKNOTE GRADING TERMS & CONDITIONS

By signing the front of this form, customer acknowledges that they have read the Collectors Universe (Paris) Limited-PCGS ("PCGS") Grading Terms and Conditions (the "Agreement") set forth below and agrees to abide by this Agreement, and further agrees that PCGS is entitled to rely upon and benefit from this Agreement.

- PCGS will endeavor to grade banknotes within the time frame, if any, offered as part of a PCGS service. However, PCGS will have no liability whatsoever to Customer for incidental or consequential damages due to PCGS' failure to grade any banknote within any time frame. All days are business days.
- PCGS Banknote will not grade banknotes that are of questionable authenticity. If PCGS rejects any banknote for grading in accordance with PCGS's grading standards and procedures, PCGS shall not refund the fee paid by Customer.

Customer represents and warrants that it has no knowledge and no reasonable basis to believe that any banknote submitted for grading has been altered or Doctored in any way. For purposes of this Agreement, "Doctored" shall have the meaning set forth in the applicable PCGS Authorized Dealer Agreement or PCGS Collectors Club Agreement by and between Customer and PCGS (the "Customer Agreement"). If Customer has not entered into the Customer Agreement, Customer hereby agrees to be bound by the terms of the Customer Agreement, a copy of which can be found at www.PCGS.com/resources/pdf/collectors-club-agreement.pdf, and Customer's signature on this Agreement shall constitute Customer's acceptance to be bound by the terms and conditions of Customer Agreement including its obligations with respect to Doctored banknotes set forth in the Customer Agreement.

Customer warrants that all information provided herein is accurate and reliable and undertakes to promptly correct and update such information if Customer discovers any such information is inaccurate.

- 3. Grading involves individual judgments that are subjective and require the exercise of professional opinion, which can change from time to time. Therefore, PCGS makes no warranty or representation and shall have no liability whatsoever to Customer for the grade assigned by PCGS to any banknote, except pursuant to the PCGS Banknote Guarantee resubmission as set forth on the PCGS website at www.PCGS.com/banknotes/guarantee which by signing this agreement the Customer acknowledges s/he has read and accepts the terms and conditions of the PCGS Grading Guarantee.
- 4. PCGS will exercise reasonable care in handling banknotes submitted for grading, regrading, or reholdering. However, if PCGS determines that the Customer's banknote was lost or damaged while in PCGS possession, Customer will be compensated based upon the fair market value of the banknote as determined by PCGS standard procedures which may include filing a claim with our insurance carrier. The declared value you stated on the front of this form is for estimating the insurance coverage only, and the fair market value of the banknote may be less than your declared value. IN NO EVENT SHALL THE TOTAL LIABILITY EXCEED THE DECLARED VALUE OF THE BANKNOTE. PCGS will not accept liability for items lost while not in the custody or control of PCGS.
- 5. Customer must inspect all banknotes immediately upon receipt and PCGS disclaims any liability for damage or discrepancies or errors, including but not limited to errors in the description of the banknote, unless reported to PCGS within five (5) days of Customer's receipt of the banknote(s). Customer agrees to return any incorrectly described banknote to PCGS upon request for correction and agrees to indemnify and hold PCGS harmless from any and all losses and/or claims

caused by the circulation or sale of any incorrectly described banknote. Failure to report such errors may cause suspension or expulsion of membership from the Collectors Club or PCGS Authorized Dealer Network. PCGS shall not be liable for any direct loss or damage suffered by the Customer howsoever caused, as a result of any negligence, breach of the terms, or otherwise in excess of the price of the services.

- 6. Customer agrees (a) to pay PCGS all fees and other charges when due; and (b) that any delinquent balances shall accrue interest for late payment at the rate of 3 times the French legal interest rate. Fees paid to PCGS are non-refundable once the item begins the grading process. Customer hereby grants to PCGS an assignment of and lien against the Property in the amount of any fees and other charges due and payable pursuant to the terms of this Agreement. Customer hereby authorizes PCGS to file, at any time on or after the date such fees and other charges become due, appropriate uniform commercial code financing statements in such jurisdictions and offices as PCGS deems necessary in connection with the perfection of a security interest in the Property. If PCGS determines, in its sole discretion and at any point in the process. that the submitted Declared Value has been understated relative to the market value of the item, or the submission form provided by the customer has been incorrectly or incompletely filled out, PCGS reserves the right to adjust the PCGS Grading Fees (including any Shipping or Handling fees) accordingly. Customer acknowledges and agrees that PCGS may adjust/increase any fees as necessary without explicit customer notification.
- 7. PCGS shall have no liability whatsoever to Customer, or any third party for whom Customer may be acting, for (i) any personal injury or (ii) for any damage to any banknote, or otherwise, that Customer is unable to demonstrate was attributable primarily to an act or omission to act by PCGS while the banknote was in its possession and control. PCGS shall not be liable under any circumstances to the Customer or any third party for any indirect or consequential loss of profit or other economic loss suffered by the Customer howsoever caused, as a result of any negligence, breach of contract, misrepresentation, or otherwise.
- Note all terms and conditions are subject to change. Turnaround times do not include mailing time and reflect business days.
- 9. Except as expressly set forth herein to the contrary, PCGS DISCLAIMS ANY AND ALL WARRANTIES, EXPRESSED OR IMPLIED, REGARDING PCGS BANKNOTE GRADING GOODS AND SERVICES, IN-CLUDING BUT NOT LIMITED TO THE WARRANTIES OF MERCHANT-ABILITY AND FITNESS FOR A PARTICULAR PURPOSE.
- 10. Notwithstanding anything to the contrary contained herein, except with respect to the remedies under the PCGS Banknote Grading Guarantee of Grade and Authenticity set forth at www.PCGS.com/banknotes/quarantee (the "Guarantee") and as set out in paragraph 4 above in connection with the loss or damage to a banknote while in the possession of PCGS THE MAXIMUM AGGREGATE LIABILITY THAT PCGS SHALL HAVE TO CUSTOMER, OR ANY THIRD PARTY FOR WHOM THE CUSTOMER MAY BE ACTING, ARISING FROM ANY CAUSE, ACT, OMISSION OR OTHER CIRCUMSTANCE, SHALL IN NO EVENT EXCEED THE GRADING FEE OR AMOUNT ACTUALLY PAID BY CUS-TOMER FOR THE GRADING SERVICES RENDERED BY PCGS WITH RESPECT TO THE BANKNOTES SUBMITTED FOR GRADING HERE-UNDER, IN NO EVENT SHALL PCGS OR ANY OF ITS AFFILIATES, OR ANY OF ITS OR THEIR RESPECTIVE EMPLOYEES, OFFICERS, DIREC-TORS, OR AGENTS, BE LIABLE TO CUSTOMER OR ANY OTHER PAR-TY FOR ANY INDIRECT, INCIDENTAL, SPECIAL, CONSEQUENTIAL, OR EXEMPLARY DAMAGES, EVEN IF ADVISED OF THE POSSIBILITY

OF SUCH DAMAGES.

- 11. In the ordinary course of its grading operations, PCGS (i) compiles data regarding each banknote submitted for grading, including, but not limited to, data relating to the identity, minting, condition and grade of the banknote (the "Data"); and (ii) may take, or have taken, one or more digital or other types of photographs, images or reproductions of each such banknote (collectively, the "Images"). In consideration for the grading services being provided by PCGS, Customer, on behalf of itself and any third party for whom Customer may be acting, hereby authorizes PCGS (i) to compile and maintain such Data with respect to each banknote submitted hereunder for grading; and (ii) to take, or cause to be taken, one or more Images of each such banknote, and further agrees that PCGS will be the owner of such Data and all such Images and that PCGS may use and exploit such Data and the Images for commercial and any other purposes, as PCGS in its sole discretion deems appropriate, including, but not limited to, the publication and republication or reproduction in or on any media, of such Data and Images. Without limiting the generality of the foregoing, Customer, on behalf of itself and any third party for whom Customer may be acting with respect to this agreement, unconditionally and irrevocably transfers, conveys, and assigns to PCGS any and all current and any hereafter acquired rights, title, and interests (including, without limitation, rights in copyright, patent, trade secret, and trademark) that Customer or any such third party may have in or to the Data and the Images (on whatever media or in whatever form such Images may be reproduced or published).
- 12. If any banknotes are being submitted for a third party, Customer represents and warrants that such third party has agreed and accepted this Agreement and has signed a duplicate copy hereof where indicated. Customer agrees to provide that third party-signed copy to PCGS at any time upon its request.
- 13. It is further agreed as follows: These Terms and Conditions shall be governed by the laws of France. Exclusive jurisdiction shall be granted to the Commercial Court of Paris for any dispute relating to these Terms and Conditions. Customer accepts and agrees not to challenge the jurisdiction or venue of such Court or assert that it is not a convenient forum.
- 14. The terms and provisions in this Agreement and the Customer Agreement, if applicable, constitute the entire agreement of PCGS and Customer (and any third party for whom Customer may be acting) regarding, and supersede all prior agreements and understandings (written or oral) between or among such parties relating to, the subject matter hereof. Except for the dispute resolution provision set forth in Section 24 of the PCGS Authorized Dealer Agreement (which shall control over Section 13 of this Agreement), if it is determined that there are any inconsistencies between this Agreement and the Customer Agreement, then this Agreement shall control. If any term or provision of this Agreement is determined, by a final and non-appealable ruling or order of a court of competent jurisdiction, to be invalid or unenforceable under applicable law, such invalidity or unenforceability shall not affect the validity or enforceability of any of the other of the terms or provisions of this agreement. Each party shall execute and deliver such additional documents and instruments as any other party may request to better evidence or effectuate the agreements contained herein, including the assignment of rights set forth in Section 11, and procedures, and further agree that PCGS is entitled to rely upon and benefit from those terms and procedures.

Customer: Sign front of form.

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